

Ligand-Controlled Highly Stereoselective Syntheses of *E*- and *Z*-Allylsilanes from Dienes and Aldehydes Using Nickel Complex

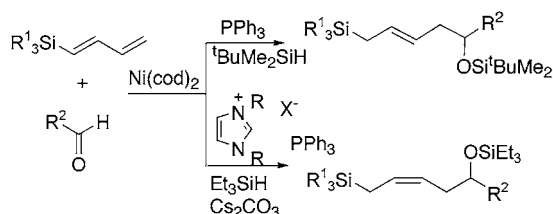
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ABSTRACT



Synthetic methods of highly stereocontrolled *E*- and *Z*-allylsilanes were developed. When a toluene solution of diene having a silicon moiety and aldehyde was refluxed in the presence of silane using a catalytic amount of $\text{Ni}(\text{cod})_2$ bearing PPh_3 , *E*-allylsilane was obtained in high yield. On the other hand, when the reaction was carried out in THF upon heating in the presence of $\text{Ni}(\text{cod})_2$, imidazolium salt, Cs_2CO_3 , and PPh_3 , *Z*-allylsilane was formed as a sole product.

Since the isolation and characterization of the first *N*-heterocyclic carbene (NHC) in 1991 by Arduengo,¹ much interest has been shown in this compound as a ligand of various metals, and many reactions using the transition metals such as palladium, nickel, ruthenium, and rhodium with these ligands were reported.² However, although the use of NHC as a ligand in a metal complex accelerates the reaction rate, ligand-controlled reactions by phosphine and NHC for the same reaction are scarcely known.³ Here, we report the syntheses of highly stereocontrolled *E*- and *Z*-allylsilanes by coupling reactions of dienes having a silicon moiety and aldehyde in the presence of silane using a catalytic amount

of nickel complex bearing PPh_3 or NHC. Our plan is shown in Scheme 1. If dienyldiene **1** can be reacted with aldehyde **2** in the presence of $\text{Ni}(0)$, PPh_3 , and hydrosilane, *E*-allylsilane **3** should be formed via a *syn*- π -allylnickelsilane complex **II** generated by nickel-catalyzed hydrosilylation of **1**.^{4a,c} On the other hand, if a nickel complex having NHC **4** is used for this reaction, *Z*-allylsilane **3** should be formed.⁵

Although the reaction pathway for formation of *Z*-olefin by the coupling reaction of a diene and an aldehyde in the presence of silane using $\text{Ni}(\text{NHC})$ is unclear,⁵ one possible reaction course is shown in Scheme 2. Oxidative cyclization of the diene and the carbonyl group of the aldehyde gives

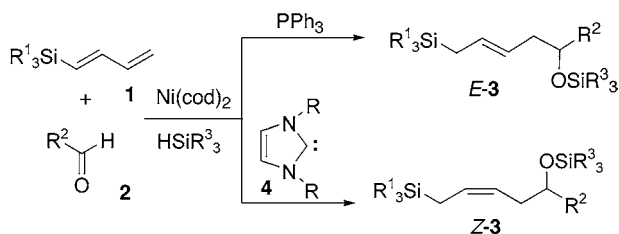
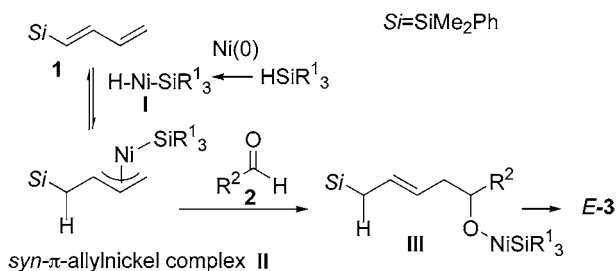
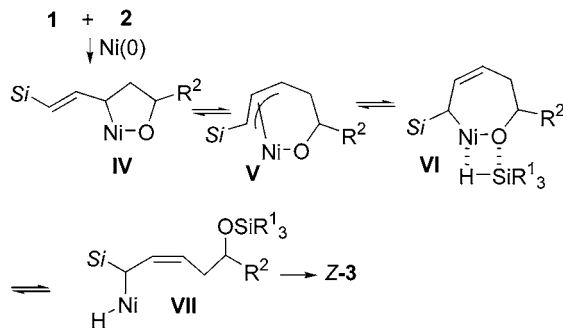
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(4) Intramolecular coupling reactions of diene and aldehyde: (a) Sato, Y.; Takimoto, M.; Hayashi, K.; Katsuhara, T.; Takagi, K.; Mori, M. *J. Am. Chem. Soc.* **1994**, *116*, 9771. (b) Sato, Y.; Takimoto, M.; Mori, M. *J. Am. Chem. Soc.* **2000**, *122*, 1624. Intermolecular coupling reactions: (c) Takimoto, M.; Hiraga, Y.; Sato, Y.; Mori, M. *Tetrahedron Lett.* **1998**, *39*, 4543. (d) Sato, Y.; Sawaki, R.; Saito, N.; Mori, M. *J. Org. Chem.* **2002**, *67*, 656. (e) Kimura, M.; Ezoe, A.; Shibata, K.; Tamaru, Y. *J. Am. Chem. Soc.* **1998**, *120*, 4033. (f) Shibata, K.; Kimura, M.; Kojima, K.; Tanaka, S.; Tamaru, Y. *J. Organomet. Chem.* **2001**, *624*, 348.

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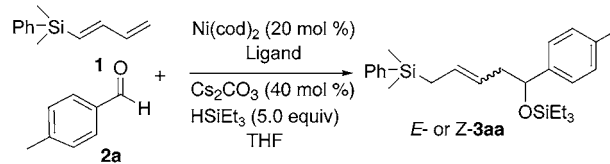
Scheme 1. Plan for Syntheses of *E*- and *Z*-Allylsilanes**Scheme 2.** Possible Reaction Pathways**Formation of *E*-Allylsilane****Formation of *Z*-Allylsilane**

oxanickelacycle **IV**,⁶ which is in equilibrium with π -allylnickel complex **V** and oxanickelacycle **VI**. σ -Bond metathesis between **VI** and hydrosilane⁷ gives *Z*-allylnickel hydride complex **VII**, from which *Z*-3 should be formed (Scheme 2).

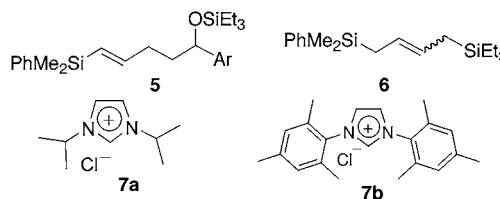
When Et_3SiH (5.0 equiv) was added to a THF solution of $\text{Ni}(\text{cod})_2$ (20 mol %) and PPh_3 (40 mol %) at 0 °C and then diene (1.0 equiv) and *p*-tolulaldehyde (1.0 equiv) were added and the solution was stirred at 50 °C for 6 h, *E*-allylsilane **E-3aa** was obtained in 56% yield along with vinylsilane **5** and the hydrosilylation product **6** of **1** in 21% and 17% yields, respectively, after the usual workup (Table 1, entry 1).

On the other hand, when imidazolium salt **7a** (20 mol %) and Cs_2CO_3 (40 mol %) were used to generate the NHC as

(6) Although the reaction of **1** and aldehyde **2a** using $\text{Ni}(0)$ –NHC should give four oxanickelacycles, two allylnickel complexes would be more stable than homoallylnickel complexes,^{4d} and seven-membered oxanickelacycle **VI**, which is in a state of equilibrium with five-membered oxanickelacycle **IV**, would be more stable because of the effect of the silyl group. See: Buchwald, S. L.; Nielsen, R. B. *J. Am. Chem. Soc.* **1989**, *111*, 2870.

Table 1. Coupling Reactions of 1-Silyl-1,3-dienes with Aldehyde

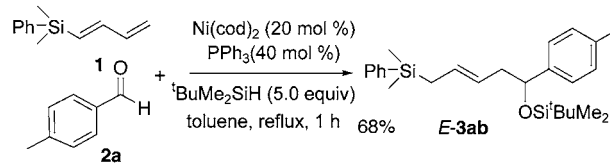
entry	ligand (mol %)	temp. (°C)	time (h)	yields (%)	
				<i>Z</i> -3aa	<i>E</i> -3aa
1	PPh_3 (40) ^a	50	6	-	56 ^b
2	7a (20)	50	19	38	13
3	7b (20)	50	29	47	-
4	7b (20)	reflux	25	53	-
5	7b + PPh_3 (20+20)	reflux	22	64	-



^a In the absence of Cs_2CO_3 . ^b Vinylsilane **5** and allylsilane **6** were obtained in 21% yield and 17% yield, respectively.

a ligand in situ instead of PPh_3 under similar reaction conditions, *Z*-allylsilane **Z-3aa** was obtained in 38% yield along with *E*-allylsilane **E-3aa** in 13% yield (entry 2). These results clearly indicate that the use of PPh_3 gave **E-3aa** as a major product but that **Z-3aa** was obtained using NHC as a ligand.

To improve the yield of the desired *E*-allylsilane **E-3a**, the reactions were carried out using various silanes because *E*-allylsilane **E-3** should be obtained via π -allylnickelsilane complex **II**.^{4a,4c} As a result, in the presence of $\text{Si}^t\text{BuMe}_2\text{H}$ instead of Et_3SiH , **E-3ab** was obtained in 60% yield as a sole product. The use of toluene as a solvent allowed heating which increased the yield of **E-3ab** to 68% (Scheme 3).

Scheme 3. Reaction of Diene and Aldehyde Using Ni – PPh_3 

Subsequently, to improve the yield and the selectivity of *Z*-allylsilane, optimization reactions were performed under the various conditions. The use of **7b** instead of **7a** as an imidazolium salt gave only *Z*-allylsilane **Z-3aa** in 47% yield

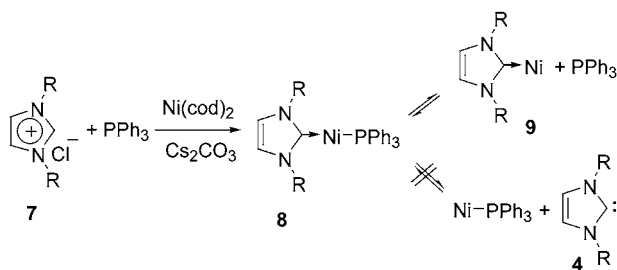
(7) Tang, X.-Q.; Montgomery, J. *J. Am. Chem. Soc.* **1999**, *121*, 6098. Sato, Y.; Saito, N.; Mori, M. *J. Am. Chem. Soc.* **2000**, *122*, 2371.

(8) Zhang, C.; Huang, J.; Trudell, M. L.; Nolan, S. P. *J. Org. Chem.* **1999**, *64*, 3804.

(Table 1, entry 3). A higher reaction temperature resulted in an increase in the yield of the desired compound **Z-3aa** (entry 4). It has been established in the coupling of dienes and aldehydes that optimum yields resulted from the use of Ni/NHC ratio of 1.⁵ However, the nickel species (Ni/NHC ratio of 1) was unstable because the dark red color of Ni(0) changed to light red during a longer reaction time upon heating. It was thought that PPh₃ could act as a stabilizer of unstable Ni(NHC) since Ni(NHC)(PPh₃) should be in equilibrium with Ni(NHC) and PPh₃.⁹ When a THF solution of **1** and **2a** was refluxed in the presence of Ni(cod)₂ (20 mol %), **7b** (20 mol %), PPh₃ (20 mol %), and Cs₂CO₃ (40 mol %), the color of Ni(0) remained unchanged during the reaction. Surprisingly, only **Z-3aa** was obtained as the sole product despite the presence of PPh₃ and the yield was increased to 64% (entry 5).

Probably, Ni(NHC)(PPh₃) **8** is formed from Ni(cod)₂, PPh₃, imidazolium salt **7b** and Cs₂CO₃, but Ni(NHC) **9b**, not Ni(PPh₃), acts as an active species since the *Z*-allylsilane was formed in this reaction (Scheme 4).

Scheme 4. Active Ni(0) Species in Ni(NHC)(PPh₃)



Since the role of PPh₃ is thought to stabilize a Ni(NHC) species, the yield of **Z-3aa** should be improved.

Various *E*- and *Z*-allylsilanes were synthesized from dienyilsilane **1** and aldehydes **2** (Table 2). It was interesting that the use of Ni(NHC)(PPh₃) generated from Ni(cod)₂, PPh₃, **7b**, and Cs₂CO₃ (method A) gave only the desired *Z*-allylsilane **Z-3**, while the use of Ni(PPh₃)₂ generated from Ni(cod)₂ and PPh₃ (method B) gave *E*-allylsilane **E-3** as the sole product. The electron-donating group on the aromatic ring gave a high yield of the desired *Z*-allylsilane (entries 3 and 4), but *E*-olefins were obtained in high yield in the case of an aldehyde having an electron-withdrawing group on the aromatic ring (entries 5 and 6).

These results indicated that when the reaction was carried out using Ni(NHC) (ratio of Ni to NHC being 1:1), phosphine

Table 2. Coupling Reactions of Diene and Various Aldehydes

$1 + \text{R}^1\text{CHO} \xrightarrow[\text{R}^2_3\text{SiH (5.0 equiv)}]{\text{Ni(cod)}_2 \text{ (20 mol \%), Ligand}} \text{Si-CH=CH-CH(R}^1\text{)-OR}^3$		$\text{Z-3a; R}^3=\text{SiEt}_3 \quad \text{Z-10; R}^3=\text{H}$ $\text{E-3b; R}^3=\text{Si}^t\text{BuMe}_2$ $\text{Si}=\text{Me}_2\text{PhSi}$			
entry	Substrate	product	time (h)	method ^a	yield (%) Z-3 E-3
1			5	A	59 ^b —
			1	B	— 71
2			16	A	70 ^b —
			0.5	B	— 70
3			15	A ^c	70 ^b —
			0.5	B	— 55
4			13	A ^c	73 ^b —
			0.5	B	— 54
5			7	A	45 ^b —
			0.5	B	— 75
6			21	A	— —
			0.5	B	— 76
7			18	A	38 —
			0.5	B	— 63

^a Method A: ligand, **7b** (20 mol %) + PPh₃ (20 mol %); silane, Et₃SiH; THF reflux. Method B: ligand, PPh₃ (40 mol %); silane, ^tBuMe₂SiH; toluene reflux. ^b Yields were obtained from gas chromatography because **Z-10** is unstable. 1.5 equiv of **2** was used in each case. **Z-3ba/Z-10b** = 4.4:1, **Z-3ca/Z-10c** = 1.8:1, **Z-3da/Z-10d** = 1:0, **Z-3ea/Z-10e** = 1:0, **Z-3fa/Z-10f** = 2.8:1. ^c Reaction temperature, 50 °C.

ligand can be added as a stabilizer of unstable Ni(NHC) because Ni(PPh₃) is not generated from Ni(NHC)(PPh₃). Furthermore, it is a novel example showing different reaction pathways in the same coupling reaction by phosphine and NHC ligands on Ni(0).

Further studies are now in progress.

Supporting Information Available: Information on experimental procedures and spectral data of **Z-3aa**, **E-3ab**, **Z-3ba-3ha**, **E-3bb-3hb**, **Z-10b**, **Z-10c**, and **Z-10f**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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